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TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER DESIGNATED/ELECTED OFFICE (DO/EO/US) 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE US2005/002379 1/25/2005 January 27, 2004 TITLE OF INVENTION METHODS AND COMPOSITIONS FOR HOMOZYGOUS GENE INACTIVATION USING COLLECTIONS OF PRE-DEFINED NUCLEOTIDE SEQUENCES COMPLEMENTARY CHROMOSOMAL TRANSCRIPTS APPLICANT(S) FOR DO/EO/US LU, QUAN COHEN, STANLEY N. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. 🖂 This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. 🔲 This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. з. 🔲 This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. 🛛 The US has been elected (Article 31). 5. 🔯 A copy of the International Application as filed (35 U.S.C. 371(c)(2))) a. is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office(RO/US) 6. 🔲 An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. A have not been made and will not be made. 8. 🔲 An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. 🗌 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. 🔲 An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. 🔲 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. 🔲 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. 🔯 An Application Data Sheet under 37 CFR 1.76. 15. 🔲 A substitute specification. 16. 🔲 A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. 🔲 A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4),

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce,

Other items or information: Copy of WO2005/074511, Postcard

20. 🔯

AP3 Rec PD1/PT0 7 JUN 200 GTO-1390 (Rev. 02-2005)
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10/582050			INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER	
					STAN-285		
The following fees are submitted:  21. Sasic national fee (37 CFR 1.492(a))						CALCULATIONS	PTO USE ONLY
						\$ 300.00	
22. Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by						\$ 200.00	
IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 All other situations \$200.00							
23. Search fee (37 CRF 1.492(b))						\$ 100.00	
If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)						<b>V</b> 100.00	
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority							
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB\$400.00							
All other situations \$500.00							
TOTAL OF 21, 22 and 23 =						\$ 600.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence							
listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets or paper or fraction thereof.							
Total Sheets	Extra sheets		each additional 50 or fraction ound up to a whole number) RATE				
		thereon (IV	ound up to a whole flumber	<i>-</i> 1)	<u> </u>	l <u>.</u>	
- 100 =  /50 =						\$	
priority date (37 CFR 1.492(e)).						\$	
CLAIMS	NUMBER FILED		NUMBER EXTRA	CTRA RATE		\$	
Total Claims		- 20 =			× \$50.00	\$	
Independent Claims		- 3=		,	x \$200.00	\$	
MULTIPLE DEPENDENT CLAIMS(S) (if applicable) + \$360.00						\$	
TOTAL OF ABOVE CALCULATIONS =						\$ 600.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.							
SUBTOTAL =						\$ 300.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).						\$	
TOTAL NATIONAL FEE =						\$ 300.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +						\$	
TOTAL FEES ENCLOSED =						\$ 300.00	
						Amount to be refunded:	\$
						Amount to be	\$300.00
						charged:	\$300.00
a. A check in the amount of \$ to cover the above fees is enclosed.							
b. Please charge my Deposit Account No. <u>50-0815</u> in the amount of <u>\$</u> to cover the above fees.  A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-0815</u> . A duplicate copy of this sheet is enclosed.							
d. Ees are to information	be charged to a cre should not be incl	edit card. WA uded on this f	RNING: Information on the form. Provide credit card	is form	n may become put ation and authoriz	olic. Credit card ation on PTO-2038.	
NOTE: Where an app granted to restore the	propriate time limit International Appli	under 37 CFF	R 1.495 has not been me	t, a pe	etition to revive (	37 CFR 1.137(a) or (t	o)) must be filed and
SEND ALL CORRESPONDENCE TO:							
Bozicevic, Field & Francis, LLP						//	
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